

CONFIDENTIAL

**OFFICE OF THE ETHICS COMMISSIONER
PROVINCE OF ALBERTA**



**MEMBER'S PRIVATE DISCLOSURE STATEMENT
FIRST TIME FILERS:
FORM 4 – PRIVATE CORPORATIONS
As at April 1, 2009**

MEMBER'S NAME:

GENERAL INFORMATION

1. PRIVATE CORPORATIONS CONTROLLED BY MEMBER, SPOUSE, OR MINOR CHILDREN*. List all private corporations controlled by you, or a combination of you, your spouse, or your minor children. If a private corporation is controlled by a combination of you, your spouse, and minor children, list your shareholdings separately. Reference: Section 1(1)(f) of the *Conflict of Interest Act*: "Private corporation" means a corporation none of whose shares are publicly-traded securities.

Name and Address of Private Corporations	Controlling Shareholder	Degree of Control	Affiliated Corporations

* For assistance, a definition of a "control person" is contained in section 1(c.2) of the *Securities Act*, Chapter S-6.1, and, in that Act, means any person or company that holds or is one of a combination of persons or companies that holds (i) a sufficient number of any of the securities of an issuer so as to affect materially the control of that issuer, or (ii) more than 20% of the outstanding voting securities of an issuer except where there is evidence showing that the holding of those securities does not affect materially the control of that issuer.

STATEMENT OF INCOME

1. INCOME FROM THE PROVINCE OF ALBERTA*. List all income your private corporation has received from any ministry, agency, board, commission, or committee of the Province of Alberta or from the Legislative Assembly of Alberta in the immediate past calendar year and income expected to be received within the current calendar year. Reference: *Conflicts of Interest Act*, sections 12(d), 14(3), 14(4)(b), and 14(6).

Immediate Past Calendar Year	Name of Private Corporation	Source	Description of Income	Amount

Current Calendar Year (expected income to year-end)	Name of Private Corporation	Source	Description of Income	Amount

2. INCOME FROM ALL OTHER SOURCES*. List all other income your private corporation has received in the immediate past calendar year and income expected to be received within the current calendar year. Reference: *Conflicts of Interest Act*, sections 12(d), 14(3), 14(4)(b), and 14(6).

Immediate Past Calendar Year	Name of Private Corporation	Source	Description of Income	Amount

Current Calendar Year (expected income to year-end)	Name of Private Corporation	Source	Description of Income	Amount

* Should your private corporation receive income other than on a calendar year basis, please indicate the time period which applies to that particular circumstance (example: the fiscal year used by a private business interest).

STATEMENT OF ASSETS

1. REAL PROPERTY INTERESTS. List all interests in land held by your private corporation, including family residences, recreational property, leasehold interests, and mortgages, whether or not your private corporation is registered as owner, showing separately which properties are used primarily as a residence or for recreational purposes by your private corporation or one of your family. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a) and (c), and 14(6).

	Name of Private Corp.	Address/Legal Description	Nature of Interest*	Value
Residence(s)				

	Name of Private Corp.	Address/Legal Description	Nature of Interest*	Value
Recreational				

	Name of Private Corp.	Address/Legal Description	Nature of Interest*	Value
“Other” (e.g. “farm,” “rental,” etc.)				

* Examples of Nature of Interest: joint tenancy, tenancy in common, joint property, common property, part ownership (indicate percentage)

2. BANK AND OTHER DEPOSITS. List all money your private corporation has on deposit with a chartered bank, trust company, or other financial institution in Alberta that is lawfully entitled to accept deposits. (Account numbers are not required.) Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	Name of Financial Institution	Nature of Account	Approx. Amount

3. CANADA SAVINGS BONDS AND GOVERNMENT SECURITIES. List all Canada Savings Bonds, Alberta Capital Bonds, and other investments or securities of fixed value held by your private corporation and issued or guaranteed by any level of government in Canada or any agency of such government. (Serial numbers are not required.) Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

NOTE: If these instruments are held in an RRSP, please include them in section 6 of this Part and not in this section.

Name of Private Corp.	Description of Bond or Security	Face Value

4. GUARANTEED INVESTMENT CERTIFICATES, TERM DEPOSITS, AND SIMILAR INSTRUMENTS. List all guaranteed investment certificates (GICs), term deposits, and all other similar financial instruments held by your private corporation. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

NOTE: If these instruments are held in an RRSP, please include them in section 6 of this Part and not in this section.

Name of Private Corporation	Name of Issuer	Description of Instrument	Amount Invested

5. MUTUAL FUNDS. List all your private corporation's holdings in mutual funds. Please indicate with an asterisk (*) which mutual funds held by you are open-ended mutual funds. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

NOTE: If these instruments are held in an RRSP, please include them in section 6 of this Part and not in this section.

Name of Private Corporation	Name of Fund	No. of Units	Value

6. LIFE INSURANCE POLICIES. List all life insurance policies owned by your private corporation. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), and 14(6).

Name of Private Corporation	Issuer	Type of Policy (e.g. Term, Life)	Cash Surrender Value

7. ANNUITIES. List all annuities held by your private corporation. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corp.	Issuer	Description	Value

8. SECURITIES AND OTHER INTERESTS IN PUBLIC CORPORATIONS. List all securities or other interests held by your private corporation in public corporations. Reference: *Conflicts of Interest Act*, sections 1(1)(i) and (j), 1(2), 1(3), 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corp.	Name of Public Corporation	Description, inc. number of shares	Value

9. PRIVATE BUSINESS INTERESTS. List all private business interests including shares or debt interests in private corporations, interests in sole proprietorships, partnerships, and joint ventures held by your private corporation. **NOTE:** Do not include the private corporations listed under paragraph 2 of Part I. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	Name of Business	Business Activities	Nature of Interest (i.e. sole proprietorship, 50%, etc.)	Value

10. FURNISHINGS, EQUIPMENT, AND PERSONAL PROPERTY. List personal property with a commercial resale value over \$1,000.

Name of Private Corporation	Description of Property	Approximate Value

11. OTHER SIGNIFICANT ASSETS. List all other significant assets owned by your private corporation. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	Description of Asset	Approximate Value

STATEMENT OF LIABILITIES

1. MORTGAGES. List all mortgages in respect of which your private corporation owes money. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	Name of Person/Institution Who Holds the Mortgage	Property on which Mortgage is Held	Approximate Amount

2. LOANS. List all loans other than mortgages in respect of which your private corporation owes money. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	Person/Institution to Whom Money is Owed	Reason for Loan/Line of Credit	Approximate Amount

3. GUARANTEES. List all guarantees given by your private corporation. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	Name of Creditor	Person/Entity for whom guarantee is given	Amount

4. UNPAID MUNICIPAL REALTY TAXES. List any unpaid realty taxes owing by your private corporation (other than for the current tax year). Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a) and (e), and 14(6). NOTE: Unpaid taxes under the *Municipal Government Act* or the *School Act* will be disclosed publicly.

Property Address	Municipality	Taxation Period	Amount

5. UNPAID INCOME TAX. List all income taxes unpaid and owing by your private corporation. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), 14(4)(a) and (e), and 14(6). NOTE: Unpaid income taxes will not be disclosed publicly.

Name of Private Corporation	Year	Amount Owing

6. OTHER SIGNIFICANT LIABILITIES. List all other significant liabilities, including amounts owing on a personal credit card over \$1,000, carried for more than three months and unpaid at the date of filing. Reference: *Conflicts of Interest Act*, sections 12(a) and (b), 14(3), 14(4)(a), and 14(6).

Name of Private Corporation	To whom is the liability owed?	Description	Amount

STATEMENT OF FINANCIAL INTERESTS

List all other significant financial interests your private corporation has. Reference: *Conflicts of Interest Act*, sections 12(a), 14(3), and 14(6).

Name of Private Corp.	Description of Interests	Value