

CONFIDENTIAL



**OFFICE OF THE ETHICS COMMISSIONER
PROVINCE OF ALBERTA**

**MEMBER'S PRIVATE DISCLOSURE STATEMENT
UPDATE FORM 4: PRIVATE CORPORATIONS**

As at April 1, 2009

NAME OF MEMBER:

List all private corporations controlled by you, your spouse or your minor children or a combination of you, your spouse, or your minor children. If a private corporation is controlled by a combination of you, your spouse and your minor children, list your shareholdings separately.

Section 1(1)(f) of the *Conflict of Interest Act*: "private corporation" means a corporation none of whose shares are publicly-traded securities.

Private Corporation	Controlling Shareholder	Degree of Control	Affiliated Corporations

* For Members' assistance, a definition of a "control person" is contained in section 1(l) of the *Securities Act*, Chapter S-4, and , in that Act, means any person or company that holds or is one of a combination of persons or companies that holds (i) a sufficient number of any of the securities of an issuer so as to affect materially the control of that issuer, or (ii) more than 20% of the outstanding voting securities of an issuer except where there is evidence showing that the holding of those securities does not affect materially the control of that issuer.

STATEMENT OF INCOME

1. **INCOME FROM THE PROVINCE OF ALBERTA.** List any additional sources of income received by private corporations not previously disclosed. Please also identify any sources of income those private corporations no longer receive as at the date of disclosure.

New Sources of Income			
Description, including type (salary, wages, dividend, etc.) and from what source		Amount	Date source of income commenced
Source:	Type:		
Source:	Type:		

Delete Source of Income	
Indicate Source	Date of termination

2. **INCOME FROM ALL OTHER SOURCES.** List any additional sources of income the private corporations received since your last disclosure and any sources of income which the private corporation no longer receives.

New Sources of Income			
Description, including type (salary, wages, dividend, etc.) and from what source		Amount	Date source of income commenced
Source:	Type:		
Source:	Type:		

Delete Source of Income	
Indicate Source	Date of termination

STATEMENT OF ASSETS

1. **REAL PROPERTY INTERESTS.** Has a private corporation purchased or sold any property since your last disclosure? Yes No If you responded “yes”, please provide the details below.

Address of Property Sold	Address of Property Purchased	Nature of Interest in new Property	Value of New Property
Mortgage on sold property paid off? Yes <input type="checkbox"/> No <input type="checkbox"/>			

Is this property used as a residence by you or a member of your family? Yes No

Is this recreational property? Yes No

2. **BANK AND OTHER DEPOSITS.** Has the private corporation changed financial institutions? Yes No If “yes,” please provide the name of the institution the private corporation is now dealing with and the nature of the deposit.

No longer dealing with:	New account with:	Type of new account:	Amount in new account:

3. **CANADA SAVINGS BONDS AND GOVERNMENT SECURITIES.** Are there any changes to the holdings of the private corporation in CSBs, Alberta Capital Bonds, or any other government securities since your last disclosure? Yes No If “yes,” please provide further information below:

Cashed in:	
Type of instrument:	Value:

Purchased:	
Type of instrument:	Value:

4. **GUARANTEED INVESTMENT CERTIFICATES, TERM DEPOSITS, AND SIMILAR INSTRUMENTS.** Has the private corporation purchased or sold any of the types of instruments listed above since your last disclosure? Yes No If “yes,” please provide further information below. If you wish, you may attach the most recent statement the private corporation has received from a financial advisor.

Cashed in:		
Description of Instrument:	Financial Institution:	Amount:

Purchased:		
Description of Instrument:	Financial Institution:	Amount:

5. **MUTUAL FUNDS.** Are there any changes with respect to mutual funds held by the private corporation previously disclosed by you? Yes No If “yes,” please provide information below. If you wish, you may attach the private corporation’s most recent statement from a financial advisor.

Sold:		
Name of Fund:	No. of Units (indicate “all” if all units have been sold)	Value:

Purchased:		
Name of Fund:	No. of Units	Value:

6. **INSURANCE POLICIES.** Has the private corporation made any changes with respect to insurance policies previously disclosed by you? Yes No

New policy with:		Amount:
Policy terminated with:		
Carrier changed:	From:	To:

7. **ANNUITIES.** Has the private corporation made any changes with respect to annuities held by it? Yes No

New annuity with:		Amount:
Policy terminated with:		
Issuer changed:	From:	To:

8. **SECURITIES AND OTHER INTERESTS IN PUBLIC CORPORATIONS.** Has the private corporation acquired or sold any securities since your last disclosure? Yes No If more convenient, you may attach the most current statement from a financial advisor.

Purchased		
Name of corporation	No. of shares purchased	Value:

Sold		
Name of corporation	No. of shares sold (indicate "All" if all were sold)	Value:

9. **PRIVATE BUSINESS INTERESTS.** Has the private corporation acquired or sold an interest in a private corporation since your last disclosure? Yes No

Interest Acquired		
Name of corporation	Description of activities	Value:

Interest Sold	
Name of corporation	Value:

10. **FURNISHINGS, EQUIPMENT, AND PERSONAL PROPERTY.** If the private corporation has acquired or sold any significant personal property since your last disclosure, please list that property.

Acquired:	
Describe item:	Value:

Sold	
Describe item:	Value:

11. **OTHER SIGNIFICANT ASSETS.** Please identify any other significant assets purchased or sold by the private corporation since your last disclosure.

Acquired:	
Describe asset:	Value:

Sold	
Describe asset:	Value:

STATEMENT OF LIABILITIES

1. **MORTGAGES.** Other than normal pay down, are there any changes with respect to mortgages held by the private corporation since your last disclosure? Yes No

Mortgage has been paid in full: Yes No

Lender changed from:	To:
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New mortgage obtained from:	Property Address:	In the amount of:

2. **LOANS/LINES OF CREDIT.** Other than normal pay down, are there any changes with respect to loans or lines of credit held by the private corporation since your last disclosure? Yes No

Loans		
With (name of Institution):		Paid in full? Yes <input type="checkbox"/> No <input type="checkbox"/>
Loan transferred from:	To:	Amount:
New loan with (name of institution):	Purpose:	Amount:

Existing Line of credit			
Current balance at \$0 :	Yes <input type="checkbox"/> No <input type="checkbox"/>	Increased to:	Decreased to:
If not \$0, current balance is:			

New line of credit		
Name of institution:	Purpose:	Amount:

3. **GUARANTEES.** Are there any changes with respect to guarantees given by the private corporation since your last disclosure? Yes No

New Guarantees			
Financial institution:	Party Guaranteed:	Purpose:	Amount

Guarantees Removed	
Financial institution	Party Guaranteed:

4. **UNPAID MUNICIPAL REALTY TAXES.** Other than for the current tax year, does the private corporation owe municipal realty taxes? Yes No

To whom?	Tax Year:	Amount:
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5. **UNPAID INCOME TAX.** Other than for the current tax year, does the private corporation owe income tax? Yes No

Tax Year:	Amount:
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6. **OTHER SIGNIFICANT LIABILITIES.** (This section includes amounts owing on corporate credit cards.) Does the private corporation have any other significant liabilities not previously disclosed?
 Yes No

New Liabilities		
Financial Institution or other entity:	Description (e.g. Visa, MasterCard, etc.)	Amount owing:

Liability removed	
Financial institution or other entity:	Description (e.g. Visa, MasterCard, etc.)

STATEMENT OF FINANCIAL INTERESTS

If the private corporation has acquired any new financial interests since your last disclosure, please provide a brief description of the nature of the interest and the amount of that interest.

If the private corporation no longer has a financial interest previously disclosed, please provide a brief explanation of that change.