



## MEMBER'S RETURN RELATING TO DIRECT ASSOCIATES

PURSUANT TO SECTION 15(1) OF THE  
CONFLICTS OF INTEREST ACT,  
CHAPTER C-23, 2000 REVISED STATUTES OF ALBERTA

### **Selected excerpts from the Conflicts of Interest Act:**

#### **Requirement to File a Direct Associate Report**

- 15(1) Every person who becomes a Member shall, within 60 days after becoming a Member, furnish to the Ethics Commissioner a return showing
- (a) the name and address of each person with whom the Member was directly associated on the day of becoming a Member and with whom the Member became directly associated after that date and before the date of the return, and
  - (b) if the Member's direct association with any person referred to in clause (a) terminated before the date of the return, the date of the termination.
- (2) Every Member shall furnish to the Ethics Commissioner
- (a) a return showing
    - (i) the name and address of each person with whom the Member became directly associated on or after the date of the Member's initial return under subsection (1) or (2), and
    - (ii) the date on which the direct association began, within 30 days after the direct association began, and
  - (b) a return showing the date on which the Member's direct association with any person terminated, within 30 days after that date.

#### **What happens with a Direct Associate Report ?**

- 15(6) On receipt of a return under this section, the Ethics Commissioner shall file a copy of the return with the Clerk of the Legislative Assembly and provide a copy to the President of Treasury Board and Minister of Finance.
- 16(1) The President of Treasury Board and Minister of Finance shall, after the end of each Government fiscal year, prepare a report showing in respect of each person who was a Member during that fiscal year
- (a) the names of the persons directly associated with the Member in that fiscal year and the period of the direct association, as indicated by the returns furnished under section 15,
  - (b) information relating to payments made by the Crown to the Member in that fiscal year, and
  - (c) information relating to payments made by the Crown in that fiscal year to the respective persons directly associated with the Member in that fiscal year, as indicated by the returns furnished under section 15.

#### **Definition of Direct Associate**

- 1 (5) For the purposes of this Act, a person is directly associated with a Member if that person is
- (a) the Member's spouse or adult interdependent partner,
  - (b) a corporation having share capital and carrying on business or activities for profit or gain and the Member is a director or senior officer of the corporation,
  - (c) a private corporation carrying on business or activities for profit or gain and the Member owns or is the beneficial owner of shares of the corporation,
  - (d) a partnership
    - (i) of which the Member is a partner, or
    - (ii) of which one of the partners is a corporation directly associated with the Member by reason of clause (b) or (c),
- or
- (e) a person or group of persons acting with the express or implied consent of the Member.

Note: for Members who have established a blind trust, you will fill out one form listing all known direct associates as of the date your blind trust was established, and your trustee will, thereafter and separately, submit a second return directly to this office listing all direct associates they are aware of in your blind trust. Going forward, if there are any changes to the Direct Associates in your blind trust, it is your Trustee's responsibility to advise this office of any changes as required under the Conflicts of Interest Act. As the Member, you then only need to advise this office of changes to Direct Associates that you are aware of and which are not in your blind trust.

**Member Name:**

**Date I became a Member:**

### **List of current Direct Associates**

1. The following are the names of each person with whom I was directly associated on the day I became a Member:

Name	Address

2. The following are the names of each person with whom I became directly *associated after the date I became a Member and before the date of this Return*, showing in each case the date of commencement of the association:

Name and Address of Direct Associate

Commencement Date


3. The following are the names of each person shown in paragraphs 1 and 2 with whom my direct association *terminated before the date of this return*, showing in each case the date of the termination:

Name of Direct Associate

Termination Date


By signing below, you affirm that the information contained in this report is a complete and full disclosure of all associates known to you, or lists any changes to associates or their addresses provided in previous reports.

Date Signed:

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Member or Trustee: